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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Yoshifumi Kawaguchi Date: March 24, 2005

**IBM** Corporation

S.N. 10/085,296 Dept. T81/Bldg. 503

Filed: 02/28/2002 P.O. Box 12195

Title: Method for Updating a License Research Triangle Park, NC 27709

Period of a Program, Method for Art Unit: 3621

Licensing the use of a Program

and Information Processing System and:

**Program Thereof** 

## INFORMATION DISCLOSURE STATEMENT

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CF 1.56 which they have just been made aware of from a foreign counterpart location.

Serial No. 10/085,296

While this Information Disclosure Statement may be "material" pursuant to 37 CFR

1.56, it is not intended to constitute an admission that any patent, publication or other

information referred to herein is "prior art" for this invention unless specifically designated

as such.

In accordance with 37 CFR 1.97 (d) (e) each item of information contained in this

information disclosure statement was first cited in a communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of

this information disclosure statement; the Examiner is hereby authorized to charge the

Petition Fee of \$180.00 to Deposit Account 09/0461.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure

Statement shall not be construed to mean that a search has been made or that no other

material information as defined in 37 CFR 1.56(a) exists. The attached form, PTO-1449,

provides a listing of patents, publications, or other information as required by 37 CFR

1.98(a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

erry W. Herndon

Attorney for Applicants Registration No. 27,901

PHONE: 919-543-9294; FAX: 919-254-4330

IBM Docket No. JP920010054US1

attachments

Serial No. 10/085,296

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PTO/SB/08A (10-01)
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Under the Paper

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Substitut	e for form 1449ATT	5		Complete if Known		
				Application Number	10/085,296	
INI	FORMATION DI	SCL	OSURE	Filing Date	02/28/2002	
ST	ATEMENT BY	APP	LICANT	First Named Inventor	Yoshifumi Kawaguchi	
(	use as many sheets	as ned	cessary)	Art Unit	3621	
				Examiner Name	John M. Winter	
Sheet	1	of	4	Attorney Docket Number	JP920010054US1	

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS											
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°						
	1.	Japan 10-228504	08/25/1998	Fujitsu Ltd.	Claims 1 – 18	Т						
	2.	Japan – 08-076994	03/22/1996	NEC Corp.		1						
	3.	Japan - 10-222579	08/21/1998	SUN Microsyst Inc.								
	4.	Japan – 11-259574	09/24/1999	Nippon								
	5.	Japan – 09-138827	05/27/1997	Hitachi Ltd.		1						
	6.	Japan – 2001-265584	09/28/2001	IBM		1						
	7.	Japan – 2002-049435	02/15/2002	Naretsuji								
	8.	Japan - 08-263441	10/11/1996	Xerox Corp.								
	9.	Japan – 08-263440	10/11/1996	Xerox Corp.								
	10.	Japan – 08-263439	10/11/1996	Xerox Corp.		1						

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and considered. Include copy of this form with next communication to applicant's unique citation designation number (optional). See Kinds codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST,3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Complete if Known Substitute for form 1449B/PTO **Application Number** 10/085,296 02/28/2002 Filing Date INFORMATION DISCLOSURE Yoshifmi Kawaguchi First Named Inventor STATEMENT BY APPLICANT (use as many sheets as necessary) Group Art Unit John M. Winter **Examiner Name** 2 Sheet of RSW920040130US1 Attorney Docket Number

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite, No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	ť
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Substitute for form 14 94/R Complete if Known **Application Number** 10/085,296 INFORMATION DISCLOSURE **Filing Date** 02/28/2002 STATEMENT BY APPLICANT First Named Inventor Yoshifumi Kawaguchi (use as many sheets as necessary) Art Unit 3621 Examiner Name John M. Winter Sheet JP920010054US1 3 of 4 Attorney Docket Number

			U.S. PATENT	DOCUMENTS	
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
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Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
11.	PCT – WO 92/20021	11/12/1992	Digital Equipment Corp.	Claims 1 - 18	Г
12.	PCT – WO 00/54128	09/14/2000	Curl Corp.	Claims 1 - 18	
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	No. <sup>1</sup>	Foreign Patent Document	Foreign Patent Document	Cite No.¹ Country Code³ - Number⁴ - Kind Code⁵ (if known)  11. PCT - WO 92/20021  Publication Date MM-DD-YYYY Applicant of Cited Document  11/12/1992  Digital Equipment Corp.	Foreign Patent Document   Publication Date   Name of Patentee or   Applicant of Cited Document   Possages   Name of Patentee or   Pages, Columns, Lines, Where Relevant Passages   Where Relevant Passages   Name of Patentee or   Applicant of Cited Document   Pages, Columns, Lines, Where Relevant Passages   Name of Patentee or   Applicant of Cited Document   Pages, Columns, Lines, Where Relevant Passages   Name of Patentee or   Applicant of Cited Document   Pages, Columns, Lines, Where Relevant Passages   Name of Patentee or   Applicant of Cited Document   Pages, Columns, Lines, Where Relevant Passages   Name of Patentee or   Name

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\*\*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*\*Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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	,	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
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